

**Abridged NSDL Demat Account Opening Form by relying on existing Bank KYC**

Sol ID.

Branch Name

NSDL Union Bank of India DP (DP ID IN302603) Address: Depository Participant Branch, 2 <sup>nd</sup> floor, Union Bank of India Building, 66/80, Mumbai Samachar Marg, Fort, Mumbai-400001	<b>NSDL Client –ID</b> <b>(To be filled by Participant)</b>

I/We request you to open a NSDL depository account in (Union Bank of India-DP) in my/our name relying on the KYC provided by me/us in my/our Savings Account /Current Account No.....

My/our key details for opening NSDL depository account are given below:

	First Holder	Second Holder	Third Holder
<b>Name</b>			
<b>Father's / Spouse Name</b>			
<b>PAN</b>			
<b>Date of Birth</b>			
<b>Email*</b>			
<b>Mobile Number*</b>			
<b>SMS Alert Facility</b>	{ } Yes { } No	{ } Yes { } No	{ } Yes { } No
<b>Family flag</b>	I hereby declare that the aforesaid mobile no. and email id belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family – Spouse/dependent children and dependent parents (Select appropriate relationship)		
<b>Type of Account</b>	Ordinary Resident		
<b>Gross Annual Income</b>	{ } Below 1 Lac { } 1-5 Lac { } 5-10 Lac { } 10-25 Lac { } More than 25 Lac		
<b>Please tick if applicable</b>	{ } Politically Exposed Person (PEP) { } Related to a Politically Exposed Person (PEP)		
<b>I wish to open:</b>	{ } Regular Demat Account { } BSDA Account		
<b>Mode of operation (in case of more than one account holder)</b>	<input type="checkbox"/> Jointly <input type="checkbox"/> Anyone of the holders or survivor		
<b>Mode of receiving Statement of Account</b>	{ } Electronic { } Physical		
I/We authorise you to receive credits automatically into my/our account. { } Yes { } No			

**\*To be obtained if not available in Bank KYC records**

**Declaration:**

1. I/We hereby provide consent to link my/our Bank Account Number and capture my/our signature(s) available in the above stated Bank Account to my Demat account.
2. The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".
3. I/We have read and understood the Schedule of Charges applicable for Demat account.

<b>Signature of Client</b>	First Holder	Second Holder	Third Holder

### Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in the account.	Details of 1 <sup>st</sup> Nominee	Details of 2 <sup>nd</sup> Nominee	Details of 3 <sup>rd</sup> Nominee
1 Name of the nominee(s) (Mr./Ms.)			
2 Share of each Nominee Equally <input type="checkbox"/> [If not equally, please specify percentage]	%	%	%
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>			
3 Relationship With the Applicant ( If Any)			
4 Address of Nominee(s) City / Place: State & Country & Pin Code:			
5 Mobile / Telephone No. of nominee(s)			
6 Email ID of nominee(s)			
7 Nominee Identification details – <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank A/c No <input type="checkbox"/> POI <input type="checkbox"/> Demat A/c No			

### Declaration Form for opting out of nomination

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Signature of Client	First Holder	Second Holder	Third Holder

**Date:**

**Place:**

**Notes.**

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8<sup>th</sup> Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
  - I. Client must ensure the confidentiality of the password of the email account.
  - II. Client must promptly inform the Participant if the email address has changed.
  - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. The pattern of holding in the Demat account shall be as per the Bank Account.
5. This nomination shall supersede any prior nomination made by the account holder(s), if any
6. If Clients wants to appoint a minor as a nominee, then a separate Nomination form may be obtained.
7. If client wish to receive Annual Reports, AGM notices in physical form, please inform your DP.

Schedule Of Charges

Sr No	Charges		Revised Charges No Trading A/c	Revised Charges with trading A/c
1	Demat Account opening charges		Free	Free
2	Demat Closure Charges		Free	Free
3	Account Manintenance Charges	Individual	Free for the first year	Free for the first year
			300+ GST from 2nd year onwards	250 + GST from 2nd year onwards
		Non-Individual	Free for the first year	Free for the first year
			1000+GST from 2nd year onwards for HUF	1000+GST from 2nd year onwards for HUF
		1500+GST from 2nd year onwards for Corporates	1500+GST from 2nd year onwards for Corporates	
4	Custody Charges		Nil	Nil
5	Transaction Charges	Buy Charges	Nil	Nil
		Sell Charges	Individual and HUF: 0.04% of the transaction value Subject to Minimum of Rs. 30/- and Maximum of Rs.1200/- per ISIN debit instruction (Charges of Rs.10/- per ISIN for late submission). 0.02% in case of Speed-e/ easiest with minimum of Rs. 30 and maximum of Rs. 1200/-. Corporate: 0.04% of the transaction value Subject to Minimum of Rs. 30/- and Maximum of Rs.1500/- per ISIN debit instruction (Charges of Rs.10/- per ISIN for late submission). 0.02% in case of Speed-e/ easiest with minimum of Rs. 50/- and maximum of Rs. 1500/-.	Individual and HUF: 0.04% of the transaction value Subject to Minimum of Rs. 30/- and Maximum of Rs.1200/- per ISIN debit instruction (Charges of Rs.10/- per ISIN for late submission). 0.02% in case of Speed-e/ easiest with minimum of Rs. 30 and maximum of Rs. 1200/-. Corporate: 0.04% of the transaction value Subject to Minimum of Rs. 30/- and Maximum of Rs.1500/- per ISIN debit instruction (Charges of Rs.10/- per ISIN for late submission). 0.02% in case of Speed-e/ easiest with minimum of Rs. 50/- and maximum of Rs. 1500/-.
6	Commercial Paper /CD/MIBOR linked paper Purchase/Sale		Rs.500 per transaction	Rs.500 per transaction
7	Debt Instrument Sale		Rs.500 per transaction	Rs.500 per transaction

Signature of Client	First Holder	Second Holder	Third Holder

8	Dematerialization	Rs.5/- per certificate (Minimum Rs.100/- per DRF ) including postage charges & other out of pocket expenses.	Rs.5/- per certificate (Minimum Rs.100/- per DRF ) including postage charges & other out of pocket expenses.
9	Rematerialization	Rs.25.00 per 100 shares or part thereof. Min Rs.100.00 per request per ISIN including postage charges & other out of pocket expenses	Rs.25.00 per 100 shares or part thereof. Min Rs.100.00 per request per ISIN including postage charges & other out of pocket expenses
10	Pledging	Creation/Create Confirmation of pledge/Invocation of pledge	0.02% of transaction value or Min Rs 100/- and Max Rs.2000. Monthly Rs. 25000/ Month with unlimited pledging.
		Closure of Pledge	0.01% of value. Min of Rs.100/-per transaction per ISIN Max of Rs.1000 to pledgee Monthly Rs. 12500/ Month with unlimited pledging.
		Margin Pledge	0.01% of transaction value or Min Rs 100/- and Max Rs.2000. Monthly Rs. 12500/ Month with unlimited pledging.
11	Transaction Statement	Monthly free, if transaction is there	Monthly free, if transaction is there
12	Holding Statement	Half yearly Free	Half yearly Free
13	Additional Statement	<b>By Post</b> -Min. Rs.50/- per instance up to 2 pages and additional Rs.10 per pages including postal charges. <b>By Email</b> - Nil	<b>By Post</b> -Min. Rs.50/- per instance up to 2 pages and additional Rs.10 per pages including postal charges. <b>By Email</b> - Nil
14	Freezing/Unfreezing	Rs.25 per transaction	Rs.25 per transaction
15	Failed Debit Transaction	Rs.50 per transaction	Rs.50 per transaction
16	Deliver Instruction Slip	Rs.100 per DIS book including postal charges ;First DIS book will be provided free	Rs.100 per DIS book including postal charges ;First DIS book will be provided free
17	Other Chrges	Demat request rejection Rs.50/- per rejection + postal charges	Demat request rejection Rs.50/- per rejection + postal charges
18	NDU Charges	a. 0.01% of transaction value or Min Rs 25/- whichever is higher plus NSDL charges as applicable	a. 0.01% of transaction value or Min Rs 25/- whichever is higher plus NSDL charges as applicable

Signature of Client	First Holder	Second Holder	Third Holder